

SEP-10-2003 WED 08:29 AM

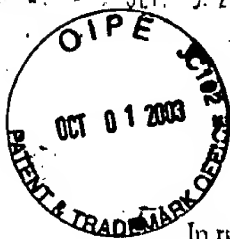
FAX NO.

NO. 4013

P. 03

SEP. 3. 2003 8:03PM

JONES TULLAR & COOPER



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Wolfgang Schmutz et al

Appln. No. : 09/600,879

Filed : August 14, 2000

For : DEVICE FOR LOADING
SUBSTRATES INTO AND
UNLOADING THEM FROM A
CLEAN ROOM

)
)
) Art Unit: 3652
)
) Ex: F.E. Werner
)
)
)
)
)
)
)

REVOCATION AND APPOINTMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As the assignee of the entire right, title and interest to the invention disclosed and claimed in the above-identified application, we hereby revoke all powers of attorney previously given and we hereby appoint the following attorney(s) to continue to prosecute the above-noted application and to transact all business in the Patent and Trademark Office connected therewith:

Clarence A. Green, Reg. No. 24,622
Janik Marcovici, Reg. No. 42,841
Geza C. Ziegler, Reg. No. 44,004

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JONES TULLAR & COOPER

NO. 4013 P. 3

Please forward all correspondence to:

PERMAN & GREEN, LLP
425 Post Road
Fairfield, Connecticut 06430-6232
Tel: (203) 259-1800

Respectfully submitted,
Brooks Automation

By 

Thomas Grilk
(Senior Vice President - General Counsel)

Date: 8 Sept 2003



Practitioner's Docket No. 390-010778-US(PCT.)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: W. Schmutz et al.

Application No.: 09 / 600,879 Group No.: 3652

Filed: 8/14/00

For: DEVICE FOR LOADING

Examiner: J. Keehan

SUBSTRATES INTO AND UNLOADING**

Issue Date: _____

Patent*:

Issue Date: _____

Reexamination No.:

Issue Date: _____

Reissue:

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20231

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OCT 09 2003

**STATEMENT UNDER 37 C.F.R. § 3.73(b)—
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

GROUP 36

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 P.O. Box 1450, Alexandria, VA 22313

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

☒ with sufficient postage as first class mail.

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☐ transmitted by facsimile to the Patent and Trademark Office.

Date:

9/29/03

Signature

Sherrina Murphy
(type or print name of person certifying)

***WARNING:** Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

NOTE: 37 CFR 3.73(b) states: "When an assignee seeks to take action in a matter before the Office with respect to a patent application, . . . , patent, registration, or reexamination proceeding, the assignee must establish its ownership of the property to the satisfaction of the Commissioner. Ownership is established by submitting to the Office, in the Office file related to the matter in which action is sought to be taken, documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment submitted for recording) or by specifying (e.g., reel and frame number) where such evidence is recorded in the Office. The submission establishing ownership must be signed by a party authorized to act on behalf of the assignee. Documents submitted to establish ownership may be required to be recorded as a condition to permitting the assignee to take action in a matter pending before the Office."

NOTE: "Section 3.73(b) is amended to remove the sentence requiring an assignee to specifically state that the evidentiary documents have been reviewed and to certify that title is in the assignee seeking to take action. The sentence is deemed to be unnecessary in view of the amendment to §§ 1.4(d) and 10.18." Notice of Oct. 10, 1997, 62 Fed. Reg. 53,131, at 53,174.

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

2. Brooks Automation

Name of assignee
Corporation

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON AUTHORIZED TO SIGN

3. _____
(type name of person authorized to sign on behalf of assignee)

Title of person authorized to sign

NOTE: The Notice of April 30, 1993 (1150 O.G. 62-64) points out:

"The statement under 37 CFR 3.73(b) may be signed on behalf of the assignee in the following two manners if the assignee is an organization (e.g., corporation, partnership, university, government agency, etc.).

"(1) The statement may be signed by a person in the organization having apparent authority to sign on behalf of the organization. An officer (president, vice-president, secretary, or treasurer) is presumed to have authority to sign on behalf of the organization. The signature of the chairman of the board of directors is acceptable, but not the signature of an individual director. A person having a title (manager, director, administrator, general counsel) that does not clearly set forth that person as an officer of the assignee is not presumed to be an officer of the assignee or to have authority to sign the statement on behalf of the assignee. A power of attorney from the inventors in an organization to a practitioner to prosecute a patent application does not make the practitioner an official of an assignee or empower the practitioner to sign the statement on behalf of the assignee.

"(2) The statement may be signed by any person, if the statement includes an averment that the person is empowered to sign the statement on behalf of the assignee and, if not signed by a registered practitioner, the statement must be in oath or declaration form. Where a statement does not include such an averment, and the person signing does not hold a position in the organization that would give rise to a presumption that the person is empowered to sign the statement on behalf of the assignee, evidence of the person's authority to sign will be required."

[Author's Note: The requirement for an oath or declaration for this statement by a person not a registered practitioner was rescinded by the rules effective December 1, 1997.]

(complete the following, if applicable)

- ☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☒ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at
Reel 012536, Frame 0618
2. ☐ An assignment (document) separately being submitted for recordal herewith.

AND/OR

- B. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____

Name of inventor(s)

To: _____

Recorded in PTO: Reel _____, Frame _____

2. From: _____

Name of inventor(s) or assignee

To: _____

Recorded in PTO: Reel _____, Frame _____

3. From: _____

Name of inventor(s) or assignee

To: _____

Recorded in PTO: Reel _____, Frame _____

(check item below, and add details, if applicable)

- ☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

- ☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1

☐ 2

☐ 3

Reg. No.: 42,841

Tel. No.: (203) 259-1800

Customer No.: 2512

(Signature of authorized person)

(type or print name of authorized person)

Title of authorized person



SIGNATURE OF PRACTITIONER

Janik Marcovici

(type or print name of practitioner)

P.O. Address



UNITED STATES
PATENT AND
TRADEMARK OFFICE



APRIL 02, 2002

PTAS

FELIX J. D'AMBROSIO
P.O. BOX 2266 EADS STATION
ARLINGTON, VA 22202

Chief Information Officer
Washington, DC 20231
www.uspto.gov



101973484A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/31/2002

REEL/FRAME: 012536/0618
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ACR AUTOMATION IN CLEANROOM, GMBH

DOC DATE: 01/28/2002

ASSIGNEE:

BROOKS AUTOMATION GMBH
GOSCHWITZER STRASSE 25
07745 JENA, FED REP GERMANY

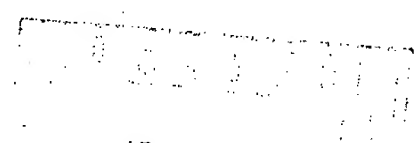
SERIAL NUMBER: 09600879

PATENT NUMBER:

FILING DATE: 08/14/2000
ISSUE DATE:

Schmutz

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



APR - 9 2002

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